# Prophylactic antiviral therapy in low-risk patients infected with the hepatitis B virus with solid tumors

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#### Abstract

**Introduction:** This study aimed to evaluate the prophylactic antiviral therapy in low-risk patients with hepatitis B virus (HBV) infections during chemotherapy.

**Material and methods:** From January 2011 to March 2018, HBsAg-positive patients were analyzed in this retrospective study. The HBV reactivation, related hepatitis, chemotherapy delay, and fulminant hepatic failure in low-risk patients between the prophylactic anti-HBV therapy (prophylaxis group) and the non-prophylactic anti-HBV therapy group (control group) were compared.

**Results:** There were 68 patients in the prophylaxis group and 102 patients in the control group. The results showed that the HBV reactivation was not significantly different between the prophylaxis group and the control group (p = 0.741). Three and 5 patients with HBV-related hepatitis were detected in the prophylaxis and control groups, respectively. Moreover, 2 and 4 patients with HBV activation-related chemotherapy delay were detected in the two groups, respectively, without any significant difference (p > 0.05). Multivariate analysis showed that HBV DNA titer was associated with HBV reactivation in low-risk patients (p = 0.001).

**Conclusions:** Prophylactic antiviral therapy might not reduce the HBV reactivation of low-risk solid malignancies (non-HCC, non-hematological lymphatic cancer, and HBV DNA titer < 100 IU/ml). For low-risk patients, monitoring the HBV DNA titers and liver function tests in the follow-up observations might be an optimal and cost-effective strategy.

**Key words:** solid malignancies, chemotherapy, HBV reactivation, prophylactic antiviral therapy, tumor.

#### Introduction

Currently, approximately 30% of the worldwide population is infected or has been infected with the hepatitis B virus (HBV) [1] and approximately 350–400 million individuals in the world are HBV carriers [2]. In recent years, the incidence of malignancies has increased every year. In 2012, there were 14.1 million cases of new-onset malignancies worldwide, and it is estimated that there will be more than 18 million cases recorded by the end of 2018 [3, 4]. Therefore, a large number of hepatitis B patients with malignancies will be noted. Systemic cytotoxic chemotherapy is one of the crucial methods for the treatment of malignancies.

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Dian-Sheng Zhong Department of Medical Oncology Tianjin Medical University General Hospital Tianjin Medical University China E-mail: dzhong@tmu.edu.cn During and after chemotherapy, it might disrupt the immune balance of the body and lead to HBV reactivation [5–7]. Clinical manifestations, from the mild elevation of alanine transaminase (ALT) to the deterioration of liver function, liver failure, and death due to chemotherapy delay, might occur after HBV reactivation [8], which in turn would affect the efficacy of systemic anti-cancer therapy. The guidelines, therefore, recommend prophylactic antiviral therapy for 6–12 months in HBsAg-positive patients with malignancies before chemotherapy [9, 10].

However, there is a lack of clinical research on the need for prophylactic anti-HBV therapy in lowrisk patients undergoing cytotoxic chemotherapy (hepatitis B patients with non-hematological lymphatic cancer, non-HCC, and low HBV DNA titers). Thus, this retrospective study aimed to evaluate the prophylactic antiviral therapy in those low-risk patients with HBV-infections during chemotherapy.

### Material and methods

# Patients

From January 2011 to July 2013, HBsAg-positive patients with solid tumors who received chemotherapy in the Cancer Hospital of the Chinese Academy of Medical Sciences and the Tianjin Medical University General Hospital were enrolled in this study. The Ethics Committees of our hospital approved this retrospective study.

### Inclusion and exclusion criteria

Inclusion criteria: (1) patients with malignancies that were pathologically confirmed; (2) patients aged  $\geq$  18 years; and (3) patients who were HBsAg positive; (4) HBV DNA titers < 100 IU/ml. Exclusion criteria: (1) patients with HCC, hematological malignancies, and lymphoma; (2) patients who had anti-hepatitis C virus antibodies or consumed alcohol excessively (> 20 g/day); (3) patients with acute fulminant hepatitis; and (4) HBV DNA titer was not detected before chemotherapy or HBeAg-positive patients.

# Study design and measurements

The patients were divided into the prophylaxis group (prophylactic therapy received before chemotherapy) and the control group (anti-HBV therapy received after HBV reactivation). In the prophylaxis group, the patients were administered anti-HBV drugs orally 1 week before chemotherapy until at least 3 months after the end of chemotherapy: lamivudine 100 mg/day (GKS), entecavir 0.5 mg/day (Bristol-Myers Squibb and Chia Tai Tianqing), and adefovir dipivoxil 10 mg/day (GKS). Parameters such as HBV reactivation risk, related hepatitis, chemotherapy delay, and severe liver failure caused by hepatitis B reactivation were compared between the two groups.

In the hepatitis B screening, HBeAg and HBe-Ab were detected with a radioimmunoassay kit (radioimmunoassay (RIA) enzyme-linked immunosorbent assay (ELISA) rapid kit; Abbott Laboratories, North Chicago, IL, USA) and HBsAg and HBsAb were detected with an Abbott HBsAg analyzer and the sensitivity was 0.05 IU/ml. The lower limit of detection for HBV DNA by the Roche Molecular System (Cobas Amplicor HBV monitor test; Roche Molecular Systems, Pleasanton, CA) was 20 IU/ml.

# Definition of hepatic events

HBV reactivation is defined as follows: (i)  $a \ge 2 \log (100 - fold)$  increase in HBV DNA compared to the baseline level and (ii) an HBV DNA  $\ge 3 \log (1,000)$  IU/ml in a patient with a previously undetectable level in the serum during the follow-up period [10]. HBV-related hepatitis: the serum ALT level (the normal upper limit is 40 IU/l) more than 3-fold and >100 U/l after HBV reactivation. The chemotherapy delay is defined as more than 8 days of chemotherapy. The abnormal liver function is defined as ALT or AST > 40 U/l in the liver function tests.

# Observational index

The observational index included serum HBeAg, anti-HBeAb, and HBV DNA concentrations and biochemical parameters, such as serum bilirubin, AST, and ALT levels before each chemotherapy session. The patients were followed up every 3–6 months until death.

# Statistical analysis

We used the software program SPSS 17.0 (IBM, Chicago, USA) to conduct the statistical analysis. Discontinuous variables were expressed as a percentage (%). Continuous variables were expressed as mean  $\pm$  SD. In this study, a *t*-test was used for two-group comparisons of a normal distribution. The non-normally distributed continuous data were compared using non-parametric tests. The counting data were tested by a  $\chi^2$  test. We used a stepwise forward method and the logistic model was used in the multivariable analysis of the competing risks. *P* < 0.05 was considered statistically significant.

# Results

### General characteristics

A total of 260 participants were included in this study. Also, 90 patients were excluded due to the following reasons: 42 patients had HBV

Table I. Baseline characteristics of patients with HBsAg-positiv	/e solid tumor

Variables	Prophylaxis group, n = 68 (%)	Control group, $n = 102$ (%)	P-value
Age [years]; mean; SD	52.6; 10.4	52.5; 10.7	0.958
Gender, <i>n</i> (%)			
Male	35 (51.5)	51 (50)	0.851
Female	33 (48.5)	51 (50)	
Tumor type, <i>n</i> (%)			
Breast cancers	16 (23.5)	19 (18.6)	0.584
Lung cancers	22 (32.4)	28 (27.5)	
Gastrointestinal cancers	26 (38.2)	50 (49.0)	
Other cancers	4 (5.9)	5 (4.9)	
Tumor stage, n (%)			
Stage I–III	32 (47.1)	51 (50.0)	0.755
Stage IV	36 (52.9)	51 (50.0)	
Adjuvant chemotherapy, n (%)			
Yes	34 (50.0)	49 (48.0)	0.802
No	34 (50.0)	53 (52.0)	
HBsAg level [IU/ml], n (%)			
≥ 250	6 (8.8)	3 (2.9)	0.159
< 250	62 (91.2)	99 (97.1)	
HBV DNA status, n (%)			
Positive	15 (22.1)	12 (11.8)	0.072
Negative	53 (77.9)	90 (88.2)	
Baseline ALT [U/l]			
Mean	22.1	23.9	0.437
SD	12.1	15.6	
Baseline liver metastasis, n (%)			
Yes	23 (33.8)	48 (47.1)	0.086
No	45 (66.2)	54 (52.9)	
Chemotherapy regime, n (%)			
Anthracycline-based	2 (6.9)	4 (3.9)	0.683
Fluorouracil-based	24 (35.3)	40 (39.2)	
Taxane-based	10 (14.7)	21 (20.6)	
Anthracycline + taxane	12 (17.6)	15 (14.7)	
Other	20 (29.4)	22 (21.6)	
Cycles of chemotherapy			
Mean	6.5	6.5	0.950
SD	2.8	2.7	
Duration of follow-up (months)			
Mean	38.7	36.9	0.657
SD	23.7	23.9	

HBsAg – hepatitis B surface antigen, ALT – alanine transaminase.

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Table II.	Details of 10 p	atients	Table II. Details of 10 patients with hepatitis B virus reactivation	virus reactivation								
Patient No.	Patient No. Age [years]	Sex	Tumor	Chemo-therapy	Prophylaxis	ALT	HBV DNA	Time reactivation	ALT	HBV DNA	Antiviral treatment	Outcome
1	53	٤	Gastric cancer	SOX	No	34	Negative	40w	36	3.5 × 10 <sup>3</sup>	Entecavir	Died of tumor
2	38	٤	Gastric cancer	SOX	No	58	84	13W	62	$6.8 \times 10^{3}$	Entecavir	Alive and well
m	47	ш	Breast cancer	EPI + PTX	No	12	23	Лw	83	$3.4 \times 10^{4}$	Entecavir	Alive and well
4	59	ш	Breast cancer	PTX + Heceptin	No	18	39	4w	22	$5.8 \times 10^{3}$	Entecavir	Died of tumor
5	47	٤	Lung cancer	DOC	Yes	41	93	ЗW	238	5.4 × 10 <sup>6</sup>	Entecavir added to 1.0 mg	Died of tumor
9	48	Z	Colon cancer	XELOX	Yes	20	Negative	3w	97	$5.4 \times 10^{5}$	Entecavir	Alive and well
7	56	ш	Breast cancer	EPI + PTX	No	31	21	17W	177	$4.8 \times 10^{5}$	Entecavir	Alive and well
∞	50	ш	Breast cancer	EPI + DOC	Yes	41	39	11W	171	6.2 × 10 <sup>5</sup>	Entecavir added to 1.0 mg	Died of tumor
6	36	ш	Breast cancer	EPI + PTX	Yes	25	23	6w	74	4.3 × 10 <sup>4</sup>	Entecavir	Alive and well
10	56	٤	Esophageal cancer	PTX + DDP	No	32	45	4	144	$2.0 \times 10^4$	Entecavir	Died of tumor
*Before chemc	otherapy the leve	I of ALT	and HBV DNA. **At	*Before chemotherapy the level of ALT and HBV DNA. **At the time of reactivation the level of ALT and HBV DN	on the level of ALT	and HBV	, DN.					

DNA > 100 U/ml at the baseline; 44 patients had a lymphoma; in 4 patients HBV DNA was not detected at baseline. The follow-up ranged from 7.0 to 80.0 months, the final follow-up was in September 2018, and the median duration was 30 months. 143 patients were negative for HBV DNA and 27 patients had HBV DNA titer > 20 IU/ml, ranging from 21 to 93 IU/ml, with a median of 36 IU/ml. Nine patients with HBsAg were above the detection limit of 250 IU/ml and 161 patients had an HBsAg quantification of 0.01-240 IU/ml. The cohort consisted of 35 breast cancer, 50 lung cancer, 76 gastrointestinal cancer, 2 ovarian cancer, 2 nasopharyngeal carcinomas, 1 synovial sarcoma, 1 urothelial bladder carcinoma, 1 prostatic cancer, 1 testicular seminoma, and 1 parotid carcinoma patients. Eighty-seven patients included in this study had palliative chemotherapy and 83 patients had postoperative adjuvant therapy.

Sixty-eight of the 170 patients received prophylactic anti-HBV therapy (prophylaxis group), while there were 102 patients without prophylactic anti-HBV treatment (control group). Age, gender, cancer type, tumor stage, initial treatment plan, and treatment cycle of the patients were similar between the two groups (Table I).

# HBV reactivation, HBV-related hepatitis, and chemotherapy delay

The results showed that the cumulative HBV reactivation rates were 2.0%, 5.9%, and 6.7% in the control group and 2.9%, 4.4%, and 4.4% in the prophylaxis group at 10, 30, and 60 weeks after the start of chemotherapy, respectively (Figure 1; p = 0.515).

The HBV reactivation had no significant difference between the two groups (p = 0.741, Figure 2). The cases of hepatitis B reactivation is described in detail in Table II. The prevention of HBV reactivation was similar among the three antiviral drugs p = 0.373). HBV reactivation also occurred in 9 patients during chemotherapy. HBV reactivation occurred in 1 patient at 12 weeks after chemotherapy (control group). Furthermore, 23 (33.8%) and 40 patients (39.2%) experienced abnormal liver function in the two groups (p = 0.515). Of these 63 patients with abnormal liver function, 8 (12.7%) were associated with HBV reactivation, 46 (73.0%) with chemotherapy-induced liver injury, and 9 with tumor progression (14.3%). A further analysis revealed 8 cases of HBV activation-related hepatitis, including 3 cases in the prophylaxis group and 5 cases in the control group; the incidence of both groups was also similar (4.4% vs. 4.9%, p = 0.882, Figure 3). There were also, interestingly, 13 and 25 cases of chemotherapy delay in the two groups (p = 0.456). The correlation between chemotherapy delay and HBV reactivation was 2.9%

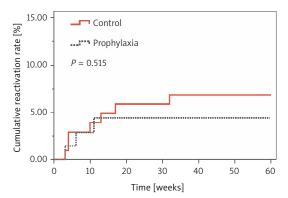


Figure 1. Cumulative reactivation rate in the prophylaxis and control groups

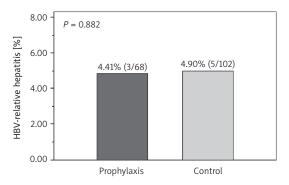


Figure 3. Hepatitis B virus (HBV)-related hepatitis in the prophylaxis and control groups

(2/68) and 3.9% (4/102), respectively, without any significant difference (p = 0.875, Figure 4).

#### Risk factor

Age, gender, tumor type, adjuvant therapy, combination with liver metastasis, HBsAg level, HBV DNA titer, steroid-containing regimen, taxanebased regimen, anthracycline-based regimen, fluorouracil-based regimen, prophylactic anti-HBV therapy, and whether ALT was elevated before chemotherapy were analyzed. The results showed that the taxane-based chemotherapy regimen and HBV DNA titer were associated with HBV reactivation (11.3% vs. 2.8%, p = 0.038; 29.6% vs. 1.4%, p < 0.001) (Table III). The above factors (p < 0.2) were also analyzed by multivariate analysis and the results confirmed that HBV DNA titer was an independent risk factor for HBV reactivation in low-risk patients (HR = 20.807, 95% CI: 3.644-118.817, p < 0.05) (Table IV).

### Discussion

Recently, approximately 51.7–72.0% of patients with hematological malignancies and lymphomas developed HBV reactivation if the prophylactic anti-HBV therapy was not administered [11–15]. Prophylactic antiviral therapy is also still required for patients who have been previously infected with

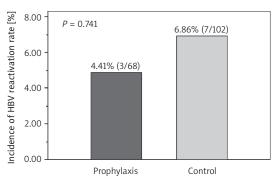


Figure 2. Hepatitis B virus (HBV) reactivation in the prophylaxis and control groups

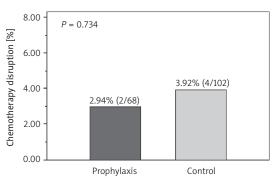


Figure 4. Hepatitis B virus (HBV)-related chemotherapy disruption in the prophylaxis and control groups

HBV while undergoing autologous and allogeneic hematopoietic stem cell transplantation and received rituximab combined with chemotherapy. Also, approximately 13.0–43.0% of these patients had HBV reactivation during and after chemotherapy [11, 16]. The occurrence of tumors has also recently increased [17–19]. Chemotherapy-induced HBV reactivation was an independent risk factor in hepatitis B patients with solid tumors [20]. Previous studies on solid tumors including patients with hepatocellular carcinoma (HCC) showed that the HBV reactivation risk was significantly higher than that in other solid tumors [21]. Anti-HBV therapy significantly improved the prognosis of patients with hepatitis and HCC [22].

This study showed that prophylactic anti-HBV therapy could not reduce the HBV reactivation in hepatitis B patients with low-risk solid malignancies (non-hematological lymphatic cancer, non-HCC, HBV DNA titer < 100 IU/ml). It was previously reported that the higher the score was, the higher was the risk of HBV reactivation [18]. All patients in the current study were low-risk patients and the overall HBV reactivation risk was 5.9%, which was similar to the above study [19, 23–25].

Recent studies have also reported that the HBV reactivation risk in solid malignancies treated with lamivudine for prophylactic anti-HBV therapy was 0–7% [19, 24, 25]. Thus, the HBV reactivation

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Table III. Analysis of potentia	l risk factors of HBV reactivation
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Characteristics	Patients with HBV reactivation, n = 10 (%)	Patients without HBV reactivation, n = 160 (%)	P-value
Age [years], n (%)			
≤ 65	7 (70.0)	91 (56.9)	1.000
> 65	3 (30.0)	69 (43.1)	
Gender, n (%)			
Male	5 (50.0)	81 (50.6)	1.000
Female	50 (50.0)	79 (49.4)	
Tumor type, <i>n</i> (%)			
Gastrointestinal cancers	4 (40.0)	72 (45.0)	0.758
Other cancers	6 (60.0)	88 (55.0)	
Live metastasis, n (%)			
Yes	4 (40.0)	67 (41.9)	0.907
No	6 (60.0)	93 (58.1)	
Adjuvant chemotherapy, n (%)			
Yes	6 (60.0)	78 (48.8)	0.490
No	4 (40.0)	82 (51.2)	
HBsAg level [IU/ml], n (%)			
≥ 250	2 (20.0)	7 (4.4)	0.09
< 250	8 (80.0)	153 (95.6)	
HBV DNA status, n (%)			
Positive	8 (80.0)	19 (11.9)	< 0.00
Negative	2 (20.0)	141 (88.1)	
Baseline ALT [U/l], n (%)			
> 40	3 (30.0)	20 (12.5)	0.116
≤ 40	7 (70.0)	140 (87.5)	
Steroid-containing treatment,	n (%)		
Yes	7 (70.0)	75 (46.9)	0.200
No	3 (30.0)	54 (53.1)	
Taxane-based, n (%)			
Yes	7 (70.0)	55 (34.4)	0.038
No	3 (30.0)	105 (65.6)	
Anthracycline-based, n (%)	. ,	. ,	
Yes	4 (40.0)	31 (19.4)	0.125
No	6 (60.0)	129 (80.6)	
Fluorouracil-based, n (%)	· · ·	. ,	
Yes	3 (30.0)	64 (40.0)	0.742
No	7 (70.0)	86 (60.0)	
Prophylactic anti-HBV, n (%)	. /	. ,	
Yes	3 (30.0)	65 (40.6)	0.741
No	7 (70.0)	95 (59.4)	

Factor	В	HR	95% CI	P-value
Taxane-based (Yes/No)	0.948	2.581	0.350–19.034	0.352
Anthracycline-based (Yes/No)	0.604	1.830	0.298–11.252	0.515
ALT increased baseline (Yes/No)	0.786	2.194	0.384–12.529	0.377
HBsAg (≤ 250 IU/ml/> 250 IU/ml)	-0.894	0.409	0.037-4.540	0.467
HBV DNA titer (20–100 U/< 20 U)	3.035	20.807	3.644–118.817	0.001

 Table IV. Multivariate analysis of HBV reactivation

risks in the above studies are similar to those in the prophylaxis group. However, the HBV reactivation risk in the control group was significantly lower than in previous studies [6, 19, 25, 26]. The HBV reactivation risk in the non-prophylactic anti-HBV therapy group in previous studies was 16–36%, which was higher than the control group. It may be due to the exclusion of patients with hematological lymphatic cancer, high HBV DNA titers, HBeAg-positive, and HCC [19, 20, 26, 27].

Furthermore, three anti-HBV drugs were used in this study: lamivudine, entecavir, and adefovir dipivoxil. Previously, entecavir was superior to lamivudine in preventing HBV reactivation [28–31]. However, no difference was detected among the three drugs in preventing HBV reactivation in our study, which might be due to the limited sample size.

Single-factor and multivariate analysis of HBV reactivation confirmed that HBV DNA titer is an independent risk factor. Previous studies found that HBV reactivation might be related to HBV DNA titers before chemotherapy [18–20]. In our study, HBV DNA titers were detectable in 15.9% of the patients. Despite the low titer of HBV DNA, the rate of HBV reactivation was significantly increased. Therefore, these patients should be treated with prophylactic antiviral therapy as long as the HBV DNA titer test results are positive.

Health economics is becoming an increasingly critical factor in medical decision-making [32, 33]. The current study confirmed that prophylactic antiviral therapy cannot reduce the rate of HBV reactivation in low-risk patients and that antiviral therapy after HBV reactivation has similar prophylactic effects on chemotherapy delay, hepatitis, and fulminant liver failure. Therefore, from the perspective of cost-efficiency, only follow-ups without prophylactic anti-HBV therapy in low-risk patients can greatly reduce the economic cost of treatment and save medical resources, thereby benefitting both the society and patients.

Limitations: Firstly, the sample size was limited; further randomized controlled trials (RCTs) with larger sample sizes are essential for the substantiation of these findings. Secondly, the participants were Chinese, which might not be optimal for populations in other countries. Thirdly, the covalently closed circular DNA (cccDNA) of HBV is considered to be related to HBV reactivation [34]. However, relevant tests were not carried out in the present study, thereby excluding some highrisk groups of HBV reactivation. Therefore, it is necessary to design a rigorous prospective RCT to verify the above conclusions.

In conclusion, prophylactic nucleoside analog (anti-HBV) therapy during chemotherapy might not reduce HBV reactivation risk in hepatitis B patients with low-risk solid malignancies (nonhematological lymphatic cancer, non-HCC, and low HBV DNA titers). Therefore, from the perspective of cost-efficiency, the reexamination of HBV DNA titer and liver function is recommended. Anti-HBV therapy, especially HBV DNA titer negative, is administered after HBV reactivation occurs.

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#### **Conflict of interest**

The authors declare no conflict of interest.

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